

# BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

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## FACSIMILE COVER SHEET

JUN 12 2003

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Deliver to: Jones, Judson, USPTO Art Group: 2834  
 Facsimile No.: (703) 305-3431 Date: June 12, 2003  
 From: Steven Laur, Reg. No. 47,736  
 Our Docket No.: 42390P13050 Number of pages 15 including this sheet.  
 Application No.: 10/037,758 Filing Date: 1/2/2002  
 Docket Due Date(s): 7/23/2003

Enclosed are the following documents:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Amendment: <u>Response</u>                              | <input type="checkbox"/> Issue Fee Transmittal                                    |
| <input type="checkbox"/> Appeal Brief (in triplicate) ( <u>      </u> pgs)                  | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application: _____<br><br>( <u>      </u> pgs) w/cover & abstract) | <input type="checkbox"/> Petition for: _____                                      |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>      </u> pgs)                      | <input type="checkbox"/> Request for Continued Examination (RCE)                  |
| <input checked="" type="checkbox"/> Certificate of Facsimile                                | <input type="checkbox"/> Reply Brief ( <u>      </u> pgs)                         |
| <input type="checkbox"/> Continued Prosecution Application (CPA)                            | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)     |
| <input type="checkbox"/> Declaration & POA ( <u>      </u> pgs)                             | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request       |
| <input type="checkbox"/> Drawings: <u>      </u> sheets, <u>      </u> figures              | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Extension of Time: _____   | <input type="checkbox"/> Response to Written Opinion ( <u>      </u> pgs)         |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate)                          | <input type="checkbox"/> Terminal Disclaimer                                      |
| <input type="checkbox"/> IDS & PTO/SB/08 ( <u>      </u> pgs)                               | <input type="checkbox"/> Transmittal of Publication Fee Due                       |
| <input type="checkbox"/> Other: _____   | <input checked="" type="checkbox"/> Transmittal Letter                            |

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States Patent and Trademark Office.



Margaux Rodriguez  
Date 06/12/2003

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## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

	Application No.	10/037,758	
	Filing Date	January 2, 2002	
	First Named Inventor	Casey R. Winkel	
	Group Art Unit	2834	
	Examiner Name	Jones, Judson	
Total Number of Pages in This Submission	15	Attorney Docket Number	42390P13050

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08	<input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; width: 150px; height: 40px; margin-top: 5px;"></div>
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

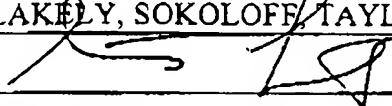
Remarks

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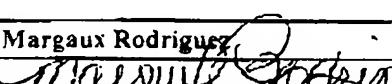
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven Laut, Reg. No. 47,736  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	June 12, 2003

### CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Margaux Rodriguez
Signature	
Date	June 12, 2003

Based on PTO/SB/21 (06-03) as modified by Blakely, Sokoloff, Taylor & Zafman (w/ 06/02/2003).  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

0.00

## Complete if Known

Application Number	10/037,758
Filing Date	January 2, 2002
First Named Inventor	Casey R. Winkel
Examiner Name	Jones, Judson
Group/Art Unit	2834
Attorney Docket No.	42390P13030

## METHOD OF PAYMENT (check one)

 Check     Credit card     Money Order     Other     None

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below     Credit any overpayments
- Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
1001	2001	376 Utility filing fee	
1002	2002	165 Design filing fee	
1003	2003	280 Plant filing fee	
1004	2004	375 Reissue filing fee	
1005	2005	80 Provisional filing fee	

SUBTOTAL (1)

(\$)

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
14	14	- 26 = 0	x 18.00 = \$0.00	
4	4	- 5 = 0	x 84.00 = \$0.00	

## Multiple Dependent

Large Entity	Small Entity	Fee Description
1202	2202	9 Claims in excess of 20
1201	2201	42 Independent claims in excess of 3
1203	2203	140 Multiple Dependent claim, if not paid
1204	2204	42 Reissue independent claims over original patent
1205	2205	8 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

0.00

\* or number previously paid, if greater. For Reissues, see below

Other fee (specify)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## Complete (if applicable)

Name (Print/Type)	Steven Laut	Registration No. (Attorney/Agent)	47,736	Telephone	(310) 207-3800
Signature				Date	06/12/03

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Fee Paid

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description
1051	130	2051 66 Surcharge - late filing after 1st response
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet
2053	130	2053 130 Non-English specification
1812	2,520	1812 2,520 For filing a request for ex parte reexamination
1604	920 *	1804 920 * Requesting publication of SIR prior to Examiner action
1805	1,840 *	1805 1,840 * Requesting publication of SIR after Examiner action
1251	110	2251 55 Extension for reply within first month
1252	410	2252 205 Extension for reply within second month
1253	830	2253 465 Extension for reply within third month
1254	1,450	2254 725 Extension for reply within fourth month
1255	1,970	2255 965 Extension for reply within fifth month
1404	320	2401 160 Notice of Appeal
1402	320	2402 160 Filing a brief in support of an appeal
1403	320	2403 140 Request for oral hearing
1451	1,510	2451 1,510 Petition to institute a public use proceeding
1452	110	2452 65 Petition to revive - unavoidable
1453	1,300	2453 650 Petition to revive - unintentional
1501	1,300	2501 650 Utility issue fee (or reissue)
1502	470	2502 235 Design issue fee
1503	630	2503 315 Plant issue fee
1450	130	2460 130 Petitions to the Commissioner
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)
1805	180	1806 180 Submission of Information Disclosure Stmt
8021	40	8021 40 Recording each patent assignment per property (times number of properties)
1809	760	1809 375 Filing a submission after final rejection (37 CFR § 1.129(a))
1810	760	2810 375 For each additional invention to be examined (37 CFR § 1.129(b))
1801	760	2801 375 Request for Continued Examination (RCE)
1802	900	1802 900 Request for expedited examination of a design application

Other fee (specify)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

Attorney's Docket No.: 042390.P13050

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application for:

**Casey R. Winkel, et al.**

Serial No.: 10/037,758

Filed: January 2, 2002

For: **MULTIPLE FAULT REDUNDANT  
MOTOR**

Examiner: Jones, Judson

Art Group: 2834

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JUN 12 2003

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**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In response to the Office Action mailed April 23, 2003, regarding the above-referenced application, Applicant respectfully requests entry of the amendment set forth below in consideration of the remarks that follow.